

- ① Michael Spivak I-V
  - ② Jürgen Jost Ric. geom. and geometric analysis 7th
  - ③ do Carmo Ric geometry.
  - ④ Petersen GTM 171
  - ⑤ 伍鸿熙, 沈纯理, 虞言林 黎曼几何初步
  - ⑥ Marcel Berger, A panoramic view of Ric. geometry
- 助教 李文博

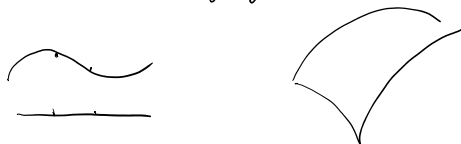
Introduction.

Riemann 1854

On the Hypotheses which lie at the foundation of geometry.

Gauss 1827 General investigations of curved surfaces

Theorem a Egregium



Geometry. spaces Gauss equation

Riemann. Spaces discrete

Differential manifolds + Riemann metric  $(C^\infty)$

2-dim  $K \equiv 1$  sphere  $K \equiv 0$   $\mathbb{R}^2$   
 $K \equiv -1$

Hilbert 1901: complete  
No complete immersed surface in  $\mathbb{E}^3$  has constant negative Gauss curvature.

{ All lines in  $\mathbb{R}^n$  through the origins }  
 $n=3$   $\mathbb{R}P^2$

Higher dimensional data.

Manifold

A topological space  $M$ ,

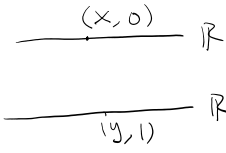
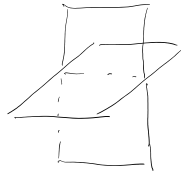
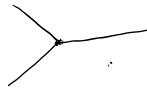
(1) every point  $x \in M$  has a neighborhood  $U$  which is homeomorphic to an open subset  $\Omega \subset \mathbb{R}^n$

$x: U \rightarrow \Omega$

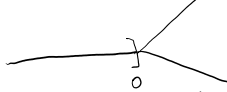
which is homeomorphic to an open subset  $\Omega \subset \mathbb{R}^n$

$$x: U \rightarrow \Omega$$

Castan 1927  
Whitney 1936

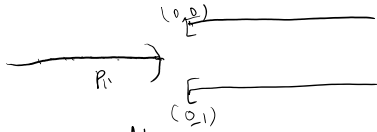


$$(x, 0) = (y, 1) \iff x = y \leq 0$$



not locally Euclidean

$$(x, 0) = (y, 1) \iff x = y < 0$$



locally Euclidean

- (2) Hausdorff  $\forall p, q \exists U_p, U_q$  s.t.  
 $p \in U_p, q \in U_q, U_p \cap U_q = \emptyset$

- (3)  $M$  second countable

Thm. (Spivak I Appendix A)

For a <sup>connected</sup> top. space  $M$  satisfying (1), (2).

TFAE:

- (1)  $M$  second countable  
(2)  $M$  metrizable  
(3)  $M$  is paracompact

$\forall$  open covering  $(\Omega_\alpha)_{\alpha \in A}$  of  $M$

$\exists$  a locally finite  $(\mathcal{R}'_\beta)_{\beta \in B}$  covering s.t.

$\forall \beta \in B \exists \alpha \in A$  s.t.  $\mathcal{R}'_\beta \subset \Omega_\alpha$ .

partition of unit

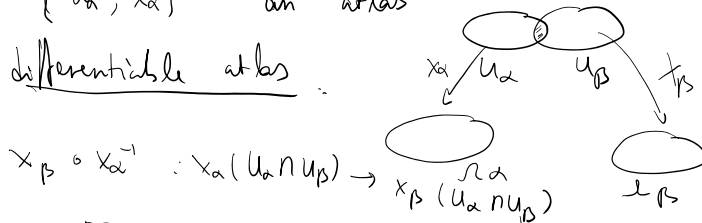
Examples: 1-dim  $\mathbb{R}$   $\leftarrow$  noncpt  $S^1$   $\leftarrow$  cpt  
2-dim cpt classification genus orientability  
3-dim cpt Thurston's program Perelman Ricci flow

Smooth manifold (Differentiable manifold)

$x: U \rightarrow \Omega$  charts (coordinate neighborhoods)

$M: \{U_\alpha, x_\alpha\}$  an atlas

differentiable atlas



$$x_\beta \circ x_\alpha^{-1}: x_\alpha(U_\alpha \cap U_\beta) \rightarrow x_\beta(U_\alpha \cap U_\beta)$$

$C^\infty$   
 A maximal differentiable atlas is called a differentiable structure.

Manifold + differentiable structure  $\rightarrow$  diff. manifold

d-dim.  $C^\infty$  mfd

Remark:  $\dim \leq 3$  unique

$\mathbb{R}^4$

Milnor 1956 exotic 7-sphere  $S^7$

Partition of unity

Lemma: Let  $M$  be smooth manifold.  $(U_\alpha)_{\alpha \in A}$  is an open covering. Then  $\exists$  a partition of unity subordinate to  $(U_\alpha)_{\alpha \in A}$ .

Denote  $(V_\beta)_{\beta \in B}$  be a locally finite refinement

$$\varphi_\beta : M \rightarrow \mathbb{R} \quad \varphi_\beta \in C^\infty(M)$$

st. (i)  $\text{supp } \varphi_\beta \subset V_\beta, \forall \beta \in B$

(ii)  $0 \leq \varphi_\beta(x) \leq 1 \quad \forall x \in M, \beta \in B$

(iii)  $\sum_{\beta \in B} \varphi_\beta(x) = 1, \quad \forall x \in M.$

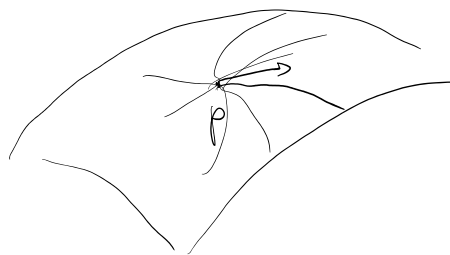
$C^\infty$  manifold + Riemann metric

vital step: curvature

Metric structure curve length

shortest curve between  $p$  and  $q$

geodesic



$$\mathcal{S} \subset T_p M$$

2-dim subspace

Consider all  $v \in \mathcal{S}$



# Sectional curvature at $p$ w.r.t $S$

Plan: (I) Riemannian metric

(II) geodesics

exponential map  $\rightarrow$  normal coordinates

complete: Hopf-Rinow (1931)

(III) Connections, Parallelism, Covariant derivatives

Italian

(IV) Curvature Second variation

Sectional, Ricci, Scalar

(V) Space forms and Jacobi fields

(VI) Comparison theorems

geometry and topology

(I) Riemannian Metric

§1 Definition: Metric space = Fréchet's thesis 1906

$M$ ,  $C^\infty$  mfd

$\forall p \in M, T_p M \quad \forall v \in T_p M$ , need  $\|v\|$

$T_p M$  normed space  $\rightarrow$  Finsler geometry

$\|v\|$ ,  $\langle v, w \rangle$   $T_p M$  Hilbert space

inner product  
Need to define  $\langle v, w \rangle_p$ ,  $\forall w, v \in T_p M, \forall p \in M$

Definition: (Rie. metric)  $M$   $C^\infty$  manifold.

A Rie. metric  $g$  on  $M$  is a " $C^\infty$  assignment":

$\forall p \in M, T_p M$ , we assign an inner product

$$g_p(\cdot, \cdot) = \langle \cdot, \cdot \rangle_p$$

which is smoothly dependent on  $p$ .

r.e.  $f(p) := \langle X_p, Y_p \rangle_p = g_p(X_p, Y_p)$   
 is a smooth function  $\wedge$  for any smooth  
 vector fields  $X, Y$  on  $U \subset M$

local coordinate  $p \in U \quad \{x^1, \dots, x^n\}$

$$x: U \rightarrow \Omega$$

$$T_p M \quad \left\{ \frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^n} \right\}$$

$$T_p^* M \quad \{dx^1, \dots, dx^n\}$$

$$\left\langle \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right\rangle_p = g_p \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right) =: g_{ij}(p)$$

matrix  $(g_{ij}(p))_{1 \leq i, j \leq n}$

$$\forall X_p, Y_p \in T_p M, \quad X_p = X^i(p) \frac{\partial}{\partial x^i}, \quad Y_p = Y^j(p) \frac{\partial}{\partial x^j}$$

$$\begin{aligned} \langle X_p, Y_p \rangle_p &= \left\langle X^i(p) \frac{\partial}{\partial x^i}, Y^j(p) \frac{\partial}{\partial x^j} \right\rangle_p \\ &= \underbrace{X^i(p)} \underbrace{Y^j(p)} \underbrace{g_{ij}(p)} \end{aligned}$$

tensor  $g = g_{ij} dx^i \otimes dx^j$

①  $g_{ij}(p)$  is smooth on  $U \ni p$ ,  $\forall i, j$

②  $g_{ij}(p)$ ,  $\forall p \in M$  symmetric, positive definite

$M$ , tensor

Definition' A Rie metric  $g$  on  $M$  is a smooth  
 $(0,2)$ -tensor satisfying

$$g(X, Y) = g(Y, X), \quad g(X, X) \geq 0,$$

$$g(X, X) = 0 \iff X \equiv 0$$

for any  $C^\infty$  vector fields  $X, Y$ .

Riemannian manifold:  $(M, g)$

$$M = \mathbb{R}^n \quad T_p \mathbb{R}^n \cong \mathbb{R}^n$$

Rie metric:  $g(X, Y) = \underline{X^T Y} \quad X^T \textcircled{A} Y$

matrix  $(g_{ij}) = (\delta_{ij}) \quad A$

Induced metric: Let  $f: M^n \rightarrow N^{n+k}$  be a  $C^\infty$  immersion (i.e.  $df_p: T_p M^n \rightarrow T_{f(p)} N$  injective  $\forall p \in M$ )

If  $(N, g_N)$  be a Rie. mfd.

Define the pull-back metric  $f^* g_N$  on  $M$ :

$$(f^* g_N)_p (X_p, Y_p) := (g_N)_{f(p)} (df_p(X_p), df_p(Y_p))$$

$\forall X_p, Y_p \in T_p M.$

Verify it is indeed a Rie. metric

$$(f^* g_N)_p (X_p, X_p) = 0 \iff X_p = 0$$

$M \subset N$  is a submanifold immersed  $\implies$

inclusion  $i: M \rightarrow (N, g_N)$  immersion

$$i^* g_N = g_M$$

$g_N: T_p N \quad T_p M \subset T_p N$

Product metric:

$$(M, g_M), (N, g_N), M \times N$$

projection:  $\pi_1: M \times N \rightarrow M$        $\pi_1(p, q) = p$   
 $\pi_2: M \times N \rightarrow N$

$$\forall (p, q) \in M \times N, \quad \forall X, Y \in T_{(p, q)}(M \times N)$$

$$g_{(p, q)}(X, Y) := (g_M)_p (d\pi_1(X), d\pi_1(Y)) + (g_N)_q (d\pi_2(X), d\pi_2(Y))$$

$$(S^1, g_{S^1})$$

$$T^n = \underbrace{S^1 \times \dots \times S^1}_n \quad (T^n, g_{T^n})$$

Remark:  $(M, g)$

Definition (Isometry) Let  $(M, g_M), (N, g_N)$ .

Let  $\varphi: M \rightarrow N$  be a diffeomorphism

$$\text{If } \varphi^* g_N = g_M$$

then we call  $\varphi$  is



an isometry :  $\bigcirc \quad \bigcirc \quad \bigcirc$

$$\forall X, Y \in T_p M, \forall p \in M$$

$$\varphi^* g_M(X, Y) = g_M(X, Y)$$

### Existence of Riemannian Metric

Thm A  $C^\infty$  mfd  $M$  has a Riem. metric.

Proof:  $\Rightarrow$  Whitney 1936  $C^\infty$  mfd  $M^n \rightarrow \mathbb{R}^{2n+1}$

$\cdot$ ) Intrinsic: partition of unity,

$\{U_\alpha\}_{\alpha \in A}$  locally finite covering

$\{\varphi_\alpha\}_{\alpha \in A}$  subordinate to  $\{U_\alpha\}_{\alpha \in A}$

On each  $U_\alpha$ ,  $\chi_\alpha: U_\alpha \rightarrow \Omega \subset \mathbb{R}^n$   
diffeomorphism  $\{x^1, \dots, x^n\}$

$$g_\alpha = \sum_{i,j} a_{ij} dx^i \otimes dx^j$$

~~$\forall p \in M$~~  
$$g = \sum_{\alpha} \varphi_\alpha g_\alpha$$

$$\forall p \in M, \forall X, Y \in T_p M, g_p(X, Y) := \sum_{\alpha} \varphi_\alpha(p) (g_\alpha)_p(X, Y)$$

Verify  $g$  is indeed a Riem. metric.

Exercise:  $\nearrow$

□

TRIP.